The following Trustees were present for the 15-16 October 2012 Board of Trustees Meeting:

Dennis Hartmann, University of Washington, Chair of the Board
Steve Ackerman, University of Wisconsin – Madison
Vicki Arroyo, Georgetown Climate Center
Tom Bogdan, UCAR (ex-officio)
Roberta Balstad, Columbia University
Kenneth Bowman, Texas A&M University
Fred Carr, University of Oklahoma
Richard Clark, Millersville University
Amy Clement, University of Miami
Everette Joseph, Howard University
Molly Macauley, Resources for the Future
Jerry Melillo, Woods Hole
Ric Porreca, University of Colorado, Boulder
Alan Robock, Rutgers University
Eric Saltzman, University of California, Irvine
Scott Sternberg, Vaisala
Eugene Takle, Iowa State University

UCAR Corporate Officers & Counsel:
Roger Wakimoto, NCAR Director
Susan Friberg, UCAR Governance Liaison
Maura Hagen, NCAR Deputy Director, ASP Director
Meg McClellan, UCAR General Counsel
Jeff Reaves, Assoc. VP, Business Services
Katy Schmoll, UCAR Vice President, Finance and Administration
Dan Wilson, UCAR Director of Treasury Operations

Monday 15 October 2012 – Little America Conference Center, Cheyenne Wyoming

Prior to the Board Meeting, NSF Director, Subra Suresh joined the Trustees for a presentation: “New Era of Global Science & Engineering.” His talk can be found here: http://www.nsf.gov/news/speeches/suresh/12/ss120930_nae_global/sld001.jsp.

The Board convened in Regular Session at 2:15 pm.

1. Agenda Approval
Chair of the Board, Dennis Hartmann convened the meeting, welcomed Trustees and guests, reviewed the agenda and asked for approval. It was moved, seconded and passed to approve the agenda.
2. Secretary’s Report  
Trustee Roberta Balstad asked the Trustees to review and approve the minutes from the May 2012 Board of Trustees meeting. **It was moved, seconded, and passed to approve the minutes from the May 2012 Board of Trustees Meeting.**

3. Report from the Board Chair  
Chair Hartmann reported on the Board retreat held this past August at a ranch above Lyons. He also reported on issues/topics that the Board has been considering more closely this year, like the NCAR budget and attendant salary budgets.

4. President’s remarks  
President Tom Bogdan updated the Trustees on recent activities:  
- Proposal to NSF to renew the NSF/UCAR Cooperative Agreement for the management of NCAR. Bogdan reported on the recent reverse site visit at NSF and that the National Science Board will be considering the recommendation of AGS regarding UCAR’s proposal at their May 2013 meeting.
- Weather Commission. Bogdan said he and Scott Rayder have been working with the community on an idea to create the first ever weather commission. The commission would provide guidance to policymakers on leveraging weather expertise across government and the private sector to better protect lives and businesses.
- Preliminary thoughts for a UCAR Strategic Plan.

5. AGS Director Remarks  
Michael Morgan, AGS Director, updated the Trustees current NSF activities. He reported on the restoration of most of the 6.4% cut that NCAR took earlier in the year; the OneNSF set of investments that will enable NSF to respond to new challenges in a changing global environment and provide leadership for establishing innovative practices; Earthcube workshops; and opportunities in Coastal and Hazard SEES programs. He noted the potential 8.2% cut to NSF if sequestration is allowed to happen, but that it is still unclear as how those cuts will be distributed. He warned of significant cuts to NCAR. He also discussed the transfer of the Office of Polar Programs into the Geoscience Directorate.

6. PACUR Liaison report  
Rich Clark, Trustee Liaison to PACUR, reported to the Trustees on the June 2012 PACUR meeting, particularly on the NCAR process for proposal submissions from the scientific staff.

**The Board convened in Deep Executive Session from 4:00-5:00pm. No minutes were kept during that session.**

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**Tuesday, 16 October – Boulder, Colorado**  
Center Green Building 1, Room 3131

**The Board re-convened in Executive Session from 8:15-10:15 am. Minutes are available in the corporate office.**

**The Board reconvened in Regular Session from 10:30-11:30 am.**
7. Update on Personnel Committee related activities

Chair of the Personnel Committee, Gene Takle, reviewed the Personnel Committee's recent conference call focused on the implementation of the compensation plan. He asked the Trustees to recall the compensation package, including the 2.9% salary budget cap that they approved in May. He reported on the implementation of the salary plan, noting that some disparities uncovered during market comparisons were addressed, especially regarding software engineers. He said that 2.82% overall was spent, with UCAR-managed spending at 2.46%; NCAR spending at 2.88%; UCP at 2.83%.

Action was requested three items:

A. A Personnel Committee Charter. It was moved, seconded, and passed to approve the following new charter for the Board Personnel Committee:

University Corporation for Atmospheric Research
Board of Trustees Personnel Committee
Charter

Purpose
Consistent with the Board of Trustees (Board) responsibility to oversee the management of the business and affairs of the corporation, the Personnel Committee (Committee) is established for the primary purpose of assisting the Board in its oversight of personnel-related matters.

The Committee is responsible for:
- Reviewing UCAR personnel policies and recommending action to the UCAR Board of Trustees
- Monitoring the development of effective affirmative action and safety programs
- Reviewing management's recommendation for the annual salary increase budget and recommending action by the full Board and examining the results of the salary adjustments
- Reviewing the appointment of all Level III and Senior Level Scientist and Research Engineering, Emeritus, Distinguished Scholar and Affiliate Scientist appointments, consistent with UCAR Policy, and recommending action by the full Board

The Committee has the authority to seek any information it requires from UCAR management and employees, all of whom should cooperate with the Committee's request. The committee has the authority to obtain advice and assistance from UCAR legal counsel, outside legal counsel or other experts.

Composition
The Committee shall be comprised of 4-8 members, as determined by the Board. One of the members will serve as Chair.

Meetings
The Committee shall meet at least twice annually or more frequently as circumstances dictate. The Committee will invite members of management or others to attend meetings and provide pertinent information. Executive sessions with UCAR management or involving only the Committee members may be held at the Committee's discretion.
B. Appointment of a Sr. Scientist Emeritus. **It was moved, seconded, and passed to approve the appointment of Roy Jenne as a Sr. Scientist Emeritus.**

C. Distinguished Scholar Appointment. **It was moved, seconded, and passed to approve former UCAR President Rick Anthes as a Distinguished Scholar.**

8. NCAR Strategic Plan
   Co-chairs of the NCAR Strategic Planning Council Peter Backlund and Joe Tribbia reported on NCAR Strategic Plan process/schedule, including on the Members Meeting break-out sessions that are planned for tomorrow (Wednesday), along with plans for opportunities for Trustee comment and review over the next year. Amy Clement, Steve Ackerman, and Gene Takle are the Trustees serving on the Council.

9. UCAR Ombuds Report and Re-appointments
   Currently there are two ombuds serving the organization: Wendy Abshire and Dick Valent. They report to, and serve at the pleasure of the Board, with one-year renewable appointments. A memo asking for renewal of the their appointments was included in the materials that were sent to the Trustees prior to the meeting.

   Wendy Abshire reported on the past year’s activities. (Dick Valent was not able to attend the meeting.) Abshire presented an overview of the Ombuds’ caseload over the past year, careful to maintain the anonymity of the visitors and issues that they’ve encountered. Chair Hartmann asked for a motion to reappoint Abshire and Valent for another year. **It was moved, seconded and passed to re-appoint them as Co-Ombuds.**

The Board adjourned at 11:30 am.

Respectfully submitted,

Susan Friberg
Assistant Secretary

Approved,

Roberta Balstad
Secretary